

TCFC FINANCE LIMITED

27th May, 2022

To,
The Manager
Listing Department,
BSE Limited
P.J Towers, Dalal Street,
Fort, Mumbai - 400 001

Scrip Code: 532284

Sub : Submission of Annual Secretarial Compliance Report for the year ended 31st March, 2022

Sir/Madam,

In compliance of Regulation 24A of SEBI (Listing Obligation Disclosure 2015, Requirement) Regulation, please find the enclosed the scanned copy of the Annual Secretarial Compliance Report for the financial year 2021-2022.

Thanking you,

For TCFC Finance Limited

**Kinjal Sheth
Company Secretary**

Encl: As Above

CIN No.: L65990MH1990PLC057923

Reg Off-501/502, Raheja Chambers, Nariman Point, Mumbai-400021. • Tel.: 22844701 / 0736; 22819237.

E-mail: companysecretary@tcfcfinance.com / investorservices@tcfcfinance.com Website: www.tcfcfinance.com

Secretarial compliance report of TCFC Finance Limited for the year ended 31st March 2022

We Aabid & Co. have examined:

- a) All the documents and records made available to us and explanation provided by TCFC Finance Limited ("the listed entity"),
- b) The filings/ submissions made by the listed entity to the stock exchanges;
- c) Website of the listed entity and
- d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification.

For the year ended 31st March 2022 in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (**Not applicable during the period under review**)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (**Not applicable during the period under review**)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (**Not applicable during the period under review**)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued there under; and based on the above examination, we hereby report that, during the Review Period:



- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder so far as it appears from our examination of those records;
- c) No actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued;
- d) The listed entity has taken the following actions to comply with the observations made in previous reports: **N/A**

Place: Mumbai
Date: 26.05.2022



For Aabid & Co
Company Secretaries

CS Mohammed Aabid

Partner

Membership No.: **F6579**

COP No.: **6625**

UDIN:F006579D000396507